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# NOTICE OF ALLOWANCE AND FEE(S) DUE

22204

7590

03/27/2008

NIXON PEABODY, LLP 401 9TH STREET, NW SUITE 900 WASHINGTON, DC 20004-2128 EXAMINER

HA, NATHAN W

ART UNIT PAPER NUMBER

2814

DATE MAILED: 03/27/2008

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
•	10/648.029	08/26/2003	Kenneth J. Kledzik	044148-004100	2417

TITLE OF INVENTION: CARRIER-BASED ELECTRONIC MODULE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	06/27/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

ndicated unless correcte maintenance fee notifica		nerwise in Block I, by (	a) specifying a new cori	espondence address;	and/or (b) indicating a sep	arate "FEE ADDRESS" for
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NIXON PEAB 401 9TH STREE SUITE 900	ET, NW		Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited v States Postal Service with sufficient postage for first class mail addressed to the Mail Stop ISSUE FEE address above, or b transmitted to the USPTO (571) 273-2885, on the date indicated			g denosited with the United
WASHINGTON	I, DC 20004-2128					(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/648,029 FITLE OF INVENTION			Kenneth J. Kledzik		044148-004100	
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUI	E PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	E DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	06/27/2008
EXAM		ART UNIT	CLASS-SUBCLASS	7	4	23.21,222
HA, NAT	HAN W	2814	257-686000	_		
Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.			
PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	ess an assignee is ident h in 37 CFR 3.11. Comp GNEE	A TO BE PRINTED ON iffied below, no assignee bletion of this form is NO categories (will not be precategories (will not be precategories)	data will appear on the T a substitute for filing a (B) RESIDENCE: (CIT	patent. If an assigne n assignment. 'Y and STATE OR Co	DUNTRY)	locument has been filed for oup entity
☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).			
5. Change in Entity Star	<b>tus</b> (from status indicate s SMALL ENTITY statı		☐ b Applicant is no le	mage claiming SMAI	L ENTITY status. See 37 C	ED 1.27(a)(2)
NOTE: The Issue Fee an	d Publication Fee (if req	uired) will not be accepte	d from anyone other than			he assignee or other party in
nterest as shown by the	records of the United Sta	tes Patent and Trademark	COffice.			
Authorized Signature			Date			
Typed or printed name			Registration No			
This collection of inform in application. Confident ubmitting the completee his form and/or suggesti 30x 1450, Alexandria, V Alexandria, Virginia 223	l application form to the ons for reducing this bu irginia 22313-1450. DC	CFR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary riden, should be sent to the NOT SEND FEES OR	on is required to obtain on 1.14. This collection is of depending upon the includence Chief Information Offic COMPLETED FORMS	r retain a benefit by the stimated to take 12 m ividual case. Any cor cer, U.S. Patent and TO TO THIS ADDRESS.	e public which is to file (an inutes to complete, includin mments on the amount of ti Trademark Office, U.S. Dep SEND TO: Commissioner	d by the USPTO to process) ng gathering, preparing, and me you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450,

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22204 75	22204 7590 03/27/2008			EXAMINER		
NIXON PEABODY, LLP			HA, NATHAN W			
401 9TH STREET,	, NW	ART UNIT	PAPER NUMBER			
SUITE 900 WASHINGTON, I	OC 20004-2128		2814 DATE MAILED: 03/27/200	8		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
	10/648,029	KLEDZIK ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Nathan W. Ha	2814	
The MAILING DATE of this communication appearance All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not included will be mailed in due course. <b>THIS</b>	
1. This communication is responsive to <u>3/18/08</u> .			
2. The allowed claim(s) is/are <u>35-37,39-48,50-57 and 60-67</u> .			
3. ☐ Acknowledgment is made of a claim for foreign priority ur  a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	e been received.  been received in Application No cuments have been received in this r of this communication to file a reply of	national stage application from the	
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	et be submitted.		
(a) including changes required by the Notice of Draftspers	on's Patent Drawing Review ( PTO-	948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the O	iffice action of	
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on the drawin he header according to 37 CFR 1.121(c	igs in the front (not the back) of i).	
<ol> <li>DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT</li> </ol>			
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☑ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 3/08  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	5. ☐ Notice of Informal Pa 6. ☐ Interview Summary Paper No./Mail Dat 7. ☒ Examiner's Amendn 8. ☒ Examiner's Stateme 9. ☐ Other	(PTO-413), e	

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Art Unit: 2814

### **DETAILED ACTION**

### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Attys. Korell and Schwartz on March 18, 2008.

The application has been amended as follows:

#### In the claims:

Please cancel claims 38 and 49.

Please add the following limitation to the end of claim 35, "said carrier further comprises a carrier body with a recess for receiving at least a portion of said second set of integrated circuit packages."

Please replace the following limitation with the "." at the end of claim 45, "; and said carrier further comprises a carrier body with a recess for receiving at least a portion of said second set of integrated circuit packages."

### Allowable Subject Matter

- 1. Claims 35-37, 39-48,50-57, and 60-67 are allowed.
- 2. The following is an examiner's statement of reasons for allowance:

After carefully reviewed the Applicant's arguments and newly amended claims in paper filed 3/18/08, and a telephone conversation with Mr. Schwartz and Mr. Korell on March 18, 2008, and updated prior art of record, the Examiner finds that the Applicant's arguments and newly added limitations are reasonable and strongly persuasive.

Therefore, they place the application in condition for allowance. The primary reason for the indication of the allowability of the above claims is the inclusion therein, in combination as currently claimed as a whole, of the limitation of the electronic package including a ball-grid array and a first IC package is formed directly on the array and a second IC package is formed beneath the array on the carrier, wherein the carrier further comprises a carrier body with a recess for receiving the set of the second IC package. This limitation is found in the above claims and is neither disclosed nor taught by the prior art of record, alone or in combination.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nathan W. Ha whose telephone number is (571) 272-1707. The examiner can normally be reached on M-TH 8:00-7:00(EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wael Fahmy can be reached on (571) 272-1705. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 2814

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nathan W. Ha/ Primary Examiner, Art Unit 2814